2812

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tomoe YAMAMOTO

Appln. No.:

09/450,351

Art Unit:

2812

Filed:

November 29, 1999

Examiner:

TSAI, H.

For:

METHOD FOR MANUFACTURING A

росн

Docket No.: SHM-00901

SEMICONDUCTOR DEVICE

Certificate of Mailing

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date of February 9, 2004.

Name: Tracey A. Newel

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Str:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

The references submitted herewith were cited by the Japanese Patent Office in an December 18, 2003 Office Action (copy enclosed) for a counterpart application. Since this Information Disclosure Statement is being filed within three months of that date and prior to a Notice of Allowance, no fee is due.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were

cited. A copy of the official action is enclosed. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application corresponding to the above-captioned U.S. patent application. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 031721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-4038.

Respectfully submitted,

CHOATE, HALL & STEWART

February 9, 2004

Date

Donald W. Muirhead

Registration No. 33,978

Patent Group Choate, Hall & Stewart Exchange Place 53 State Street Boston, Massachusetts 02109

| Form PTO-1449 | | | | Sheet 1_of_ Docket Number (Optional) | | | Application No. | | |
|--|-----------------------|----------------------|-------------------|--------------------------------------|----------------------|--------------|--------------------------|---------------------------------------|-----------|
| INFORMATION DISCLOSURE CITATION | | | | SHM-00901 | | | 09/450,351 | | |
| OIPE IN AN APPLICATION | | | | | , | | | | |
| / U | | | | | • | | | | |
| 4 1 4 | Onne Reveral: | sheets if necessary) | | <u> </u> | | | l | | |
| FEB 1 1 2 | 2004 | | | Applicant Tomoe Y | YAMAMOTO | | | | |
| MAD Zinik Etic Maria Man | | | | Filing Date November 29, 1999 | | | Group Art Unit 2812 | | |
| RADEM | ist. | | II | S. PATENT D | OCUMENTS | | | | |
| EXAMINER | | | | | NAME |] | | FILING I | DATE |
| INITIAL | | NT NUMBER | DATE | | | CLASS | SUBCLASS | IF APPROF | PRIATE |
| | AA | | | | | | | | |
| | AB | | 1 | | | | | | |
| | AC | | | | | | | | |
| | AD AE | | | | | | | | |
| - | AF | | | 1 | | | | | |
| | AG | | 1 | | | | | | |
| | АН | | | | | | | | |
| | AI | | | | | | | | |
| | AJ | | | | | - | | | |
| | AK | | | | | | | | |
| | | | FORE | EIGN PATENT | T DOCUMENTS | | | | |
| - | | | | | | | Translation | | |
| | DOCUMENT NUMBER | | DATE | COUNTRY CL. | | CLASS | SUBCLASS | ASS YES NO | |
| | AL 7-26357 | <u>'</u> 4 | 10/13/1995 | IP | | | | | X |
| | AM 8-29349 | | 11/05/1996 | | | + | | | X |
| | AN | ···· | | | | | | | |
| | AO | | | | | | | | |
| | AP | | | | | | | | |
| | AQ | | | | | | | · | |
| | | | | OTHER DOCU | MENTS | | (Including author, Title | Date, Pertine | ent Pages |
| | AR | | | | | | | | O |
| | AS | | | | | | | · · · · · · · · · · · · · · · · · · · | |
| | AT | | | | | | | | |
| | AÜ | | | | | | | | |
| | AV | | | | | | | | |
| | | | | | | | | | |
| | AW | | | | | | | <u></u> | |
| | AX | | | · | • | | | | |
| | AY | | | | | | | | |
| | AZ | | | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | | | |
| | | | | | | | | | |
| | <u></u> | | | | | | | | |
| EXAMINER | : Initial if citation | on considered, v | vhether or not ci | tation is in conforn | nance with MPEP § 60 | 9; Draw I | ine through citation if | not in confo | rmance |
| and not consi | dered. Include | copy of this for | m with next com | nmunication to the | applicant. | | | | |

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE